

# DUE DILIGENCE & KNOW YOUR CUSTOMER - CUSTOMER DUE DILIGENCE (CDD) REQUIREMENTS FOR FINANCIAL INSTITUTIONS

Empower Better Choices Via Comprehensive Information,  
Applied Through Time Tested Processes To Minimize Errors

3rd & 4th August 2017  
Kuala Lumpur, Malaysia

## Free Takeaway

- Certificate of Completion from the International Association of Financial Management (INTERFIRMA)
- Free Publication – Weekly Newsletters of INTERFIRMA
- Access to Research Services of INTERFIRMA

## Major Benefits Of Attending

By end of this course, delegates will be able to:

- **ACHIVE** proper due diligence with a tactical and strategic advantage over competition
- **GET** better customers with fewer regulatory concerns
- **UNDERSTAND** the process of due diligence
- **ENHANCE** due diligence from the man who wrote the book himself
- **FOCUS** on elemental concepts of Due Diligence and Know Your Customers
- **AVOID** bad customers, getting fined, or worse
- **DEVELOP** Due Diligence to a level of practice of inquiry
- **UNDERSTAND** the structured and systemic science and how human think
- **IMPROVE** choices via comprehensive information through time tested processes
- **MINIMIZE** errors
- **COVER** customer on-boarding, sources of funds and wealth, customer questionnaires including financial institution's core competencies

DELEGATES  
ARE REQUIRED  
TO BRING THEIR  
OWN  
LAPTOP AND  
A CALCULATOR

## Why you Should Attend?

Proper due diligence provides a company with a tactical and strategic advantage over competition. Who does not want better customers and fewer regulatory concerns? This webinar will provide clear understanding of the process of due diligence and enhanced due diligence from the man who wrote the book. It will focus on the elemental concepts of Due Diligence and KYC – to avoid bad customers, getting fined, or worse.

The two days seminar is about developing your Due Diligence to a level of practice of inquiry based upon understandings of structured and systematic science and how we as humans think. Due Diligence empowers better choices via comprehensive information, applied through time tested processes to minimize errors. Due Diligence improves risk assessment, choice management and improves the quality and variety of choices to be made. It will explain the process of customer due diligence and enhanced due diligence. It will cover customer on-boarding, sources of funds and wealth, customer questionnaires including financial institution's core competencies.

## Who Should Attend?

The course was specifically designed for:

- ✓ Officers from Financial Firms, Banks, Insurance Companies, Lenders
- ✓ Fund Managers and Administrators
- ✓ Securities Broker Dealers
- ✓ Trust Companies and Financial Management firms
- ✓ All levels of Management and Compliance and Marketing
- ✓ Risk Managers
- ✓ Lawyers
- ✓ Accountants specializing in the financial industry
- ✓ Members of Audit Committee and Risk Committees
- ✓ Regulators
- ✓ Deal makers

Organized by: \_\_\_\_\_



WE SOLVE YOUR PUZZLE